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**THREE-DIMENSIONAL STRESS AND DISPLACEMENT  
ANALYSIS OF YUTIAO CONCRETE FACED ROCKFILL DAM**

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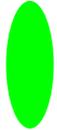
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# This is a challenging topic

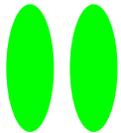
## The objective of this study

- ☒ Indoor tests and site surveys indicate that there is a great deal of mudstone at the site of Yutiao concrete-faced rockfill dam. Its average compression strength is 18.8MPa and its permeability coefficient is  $3.65 \times 10^{-2} \text{cm/s}$ . It has high degree of weathering and high content of fine particle.
- ☒ Whether this soft rock which can be used for the dam is an important problem. If stacking area far away from the dam is adopted, progress of works will be delayed and the investment will increase.
- ☒ The left abutment and the right one are asymmetric. The dip and the inclination are not equal. The effect of three-dimensional characteristics of canyon shape may be obvious.
- ☒ During engineering construction, if the gravel content or the grain is low ?

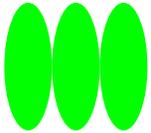
# main analysis procedures



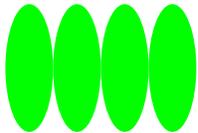
**three-dimensional finite element discretization**



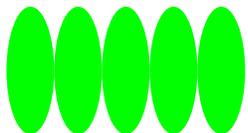
**Model choice and model parameters**



**Finite element analysis method**

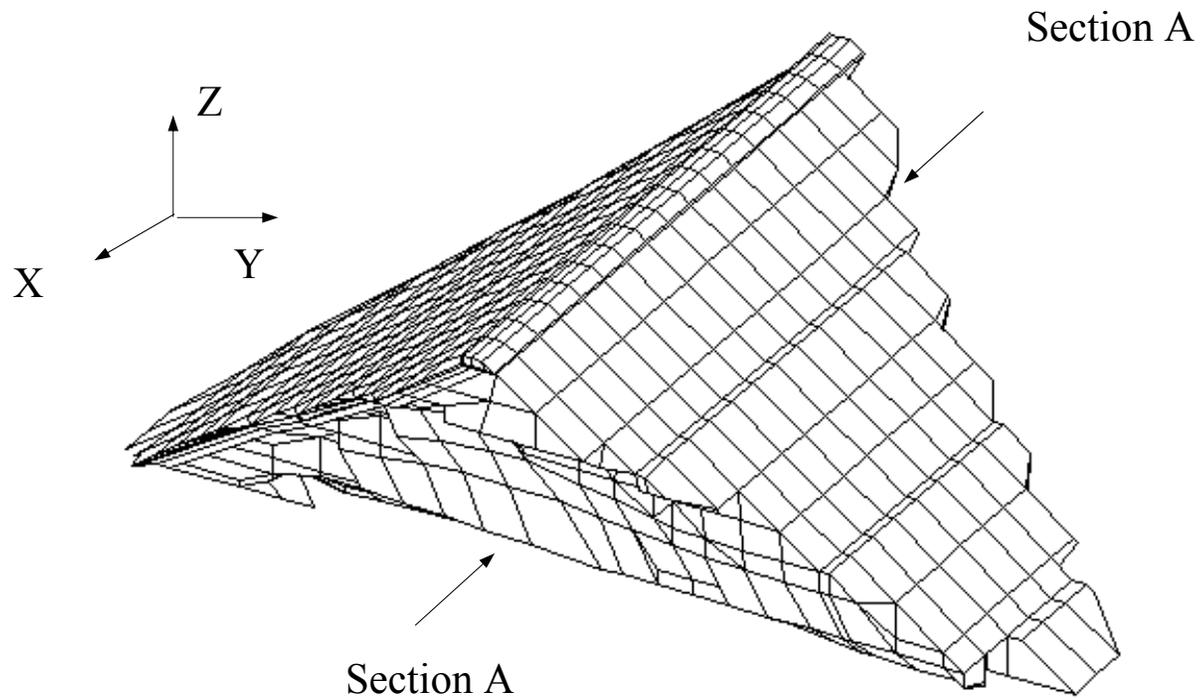


**Results comparison**



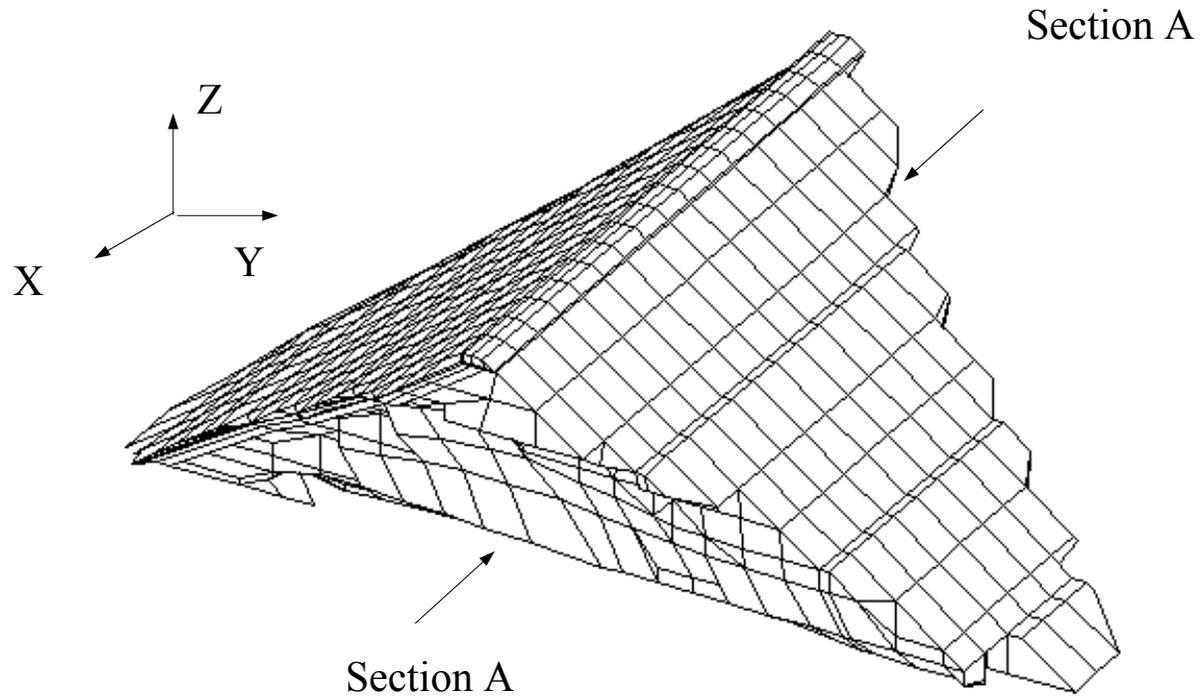
**Some conclusions**

# Finite element mesh



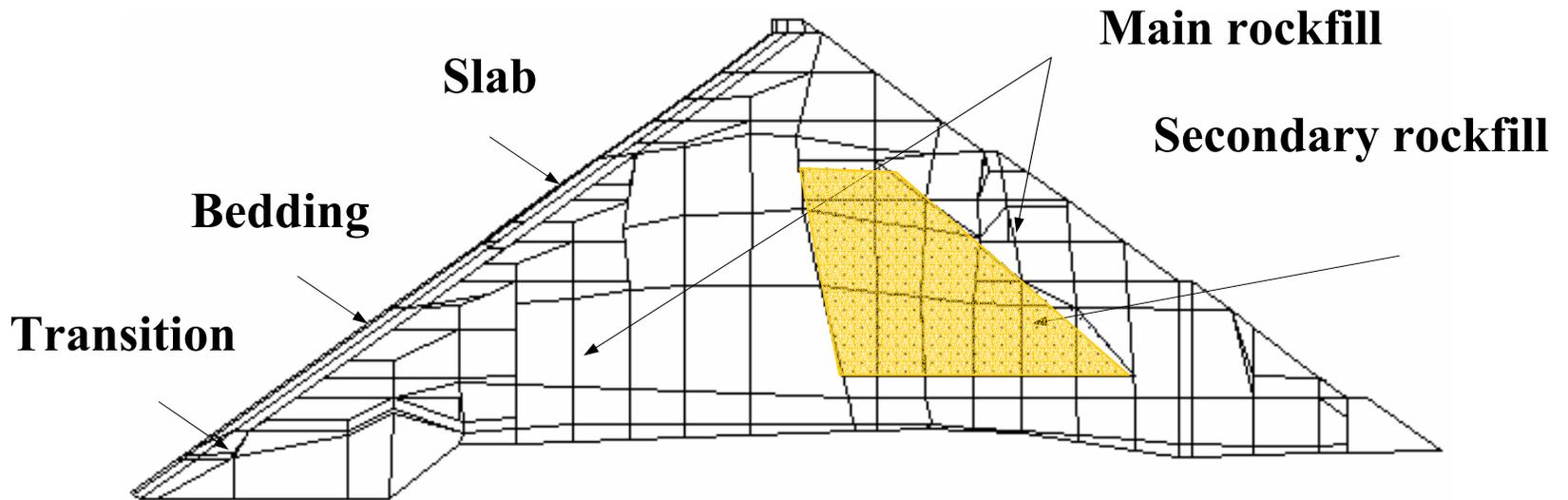
**Fig. 1 The three-dimensional finite element discretization of Yutiao dam**

# Finite element mesh



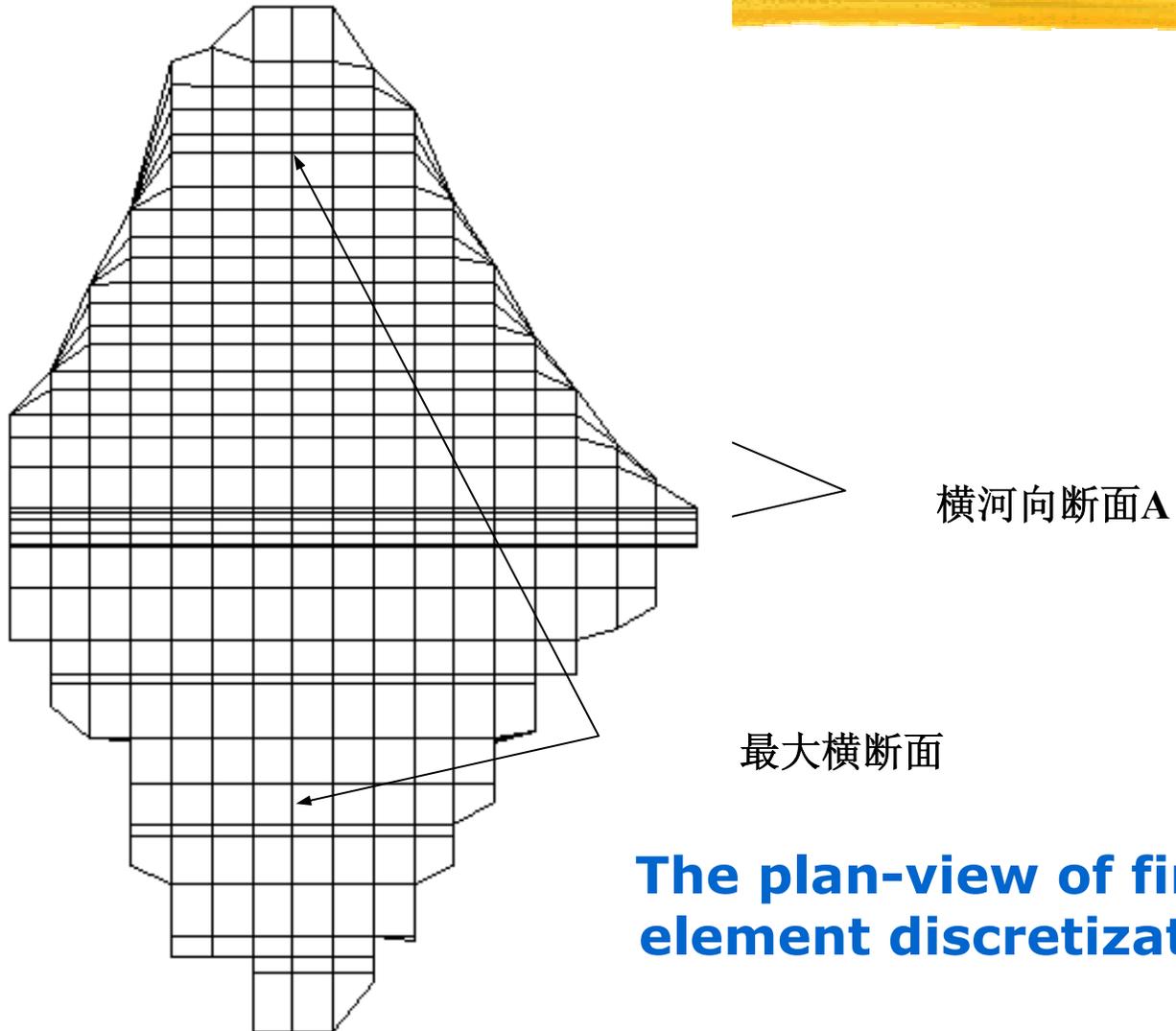
**Fig. 1 The three-dimensional finite element discretization of the dam**

# Finite element mesh



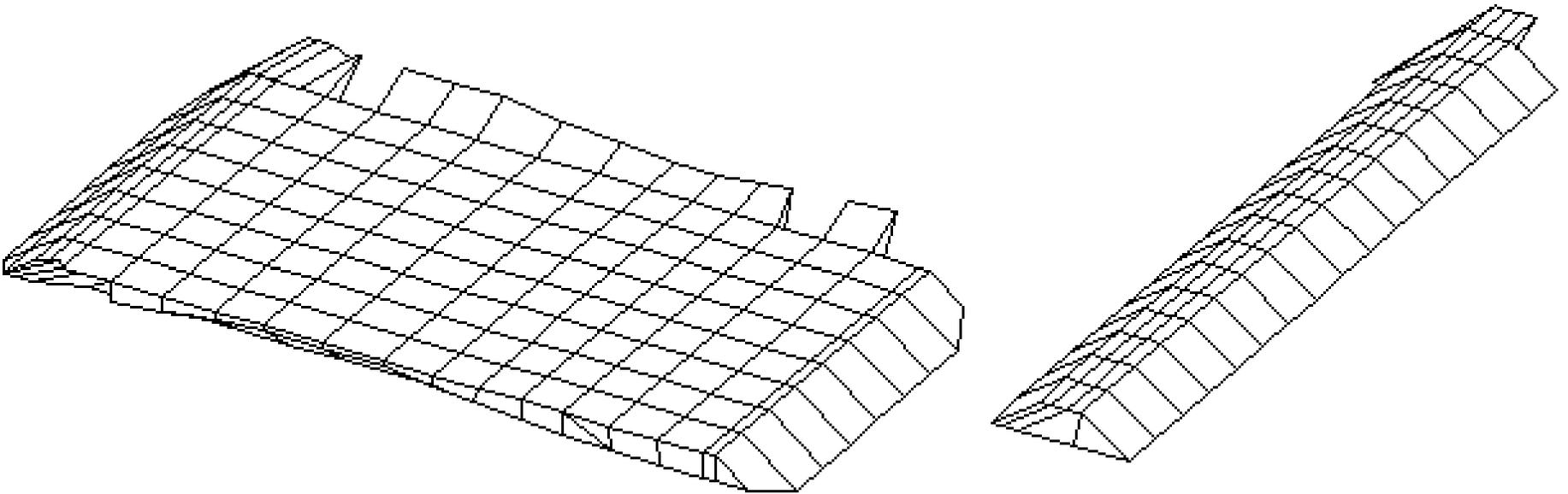
**Maximum cross section of the dam**

# Finite element mesh



**The plan-view of finite element discretization**

# Load steps



**The element mesh of five and twelve load step**

# The incremental elastic and hyperbolic Duncan model

⌘ E-B model proposed by Duncan Chang in 1980

**tangent modulus of elasticity** 

$$E_t = E_i \left( 1 - R_f \frac{(\sigma_1 - \sigma_3)(1 - \sin \phi)}{2(c \cos \phi + \sigma_3 \sin \phi)} \right)^2$$
$$\phi = \phi_0 - \Delta \phi \log \left( \frac{\sigma_3}{p_a} \right)$$

**unloading modulus of elasticity** 

$$E_{ur} = K_{eur} p_a \left( \frac{\sigma_3}{p_a} \right)^n$$

**tangent modulus of volume** 

$$B_t = K_b p_a \left( \frac{\sigma_3}{p_a} \right)^m$$

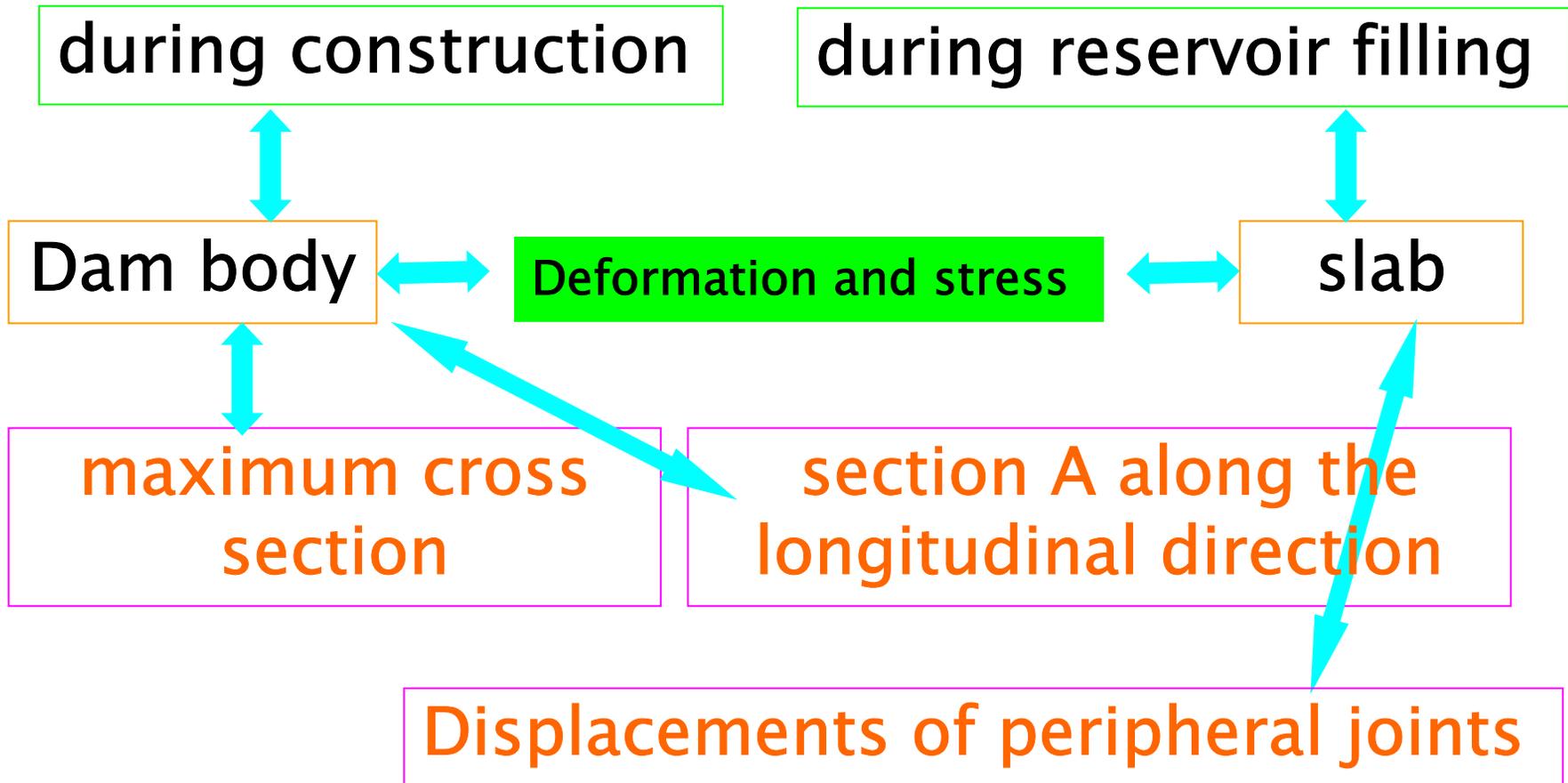
# Model parameters of Duncan model

Type	$K_e$	$\phi_0$	$\Delta\phi$	$n$	$R_f$	$K_b$	$m$
Slab	190000	190000	190000	190000	190000	120000	0
Main rockfill	800	46	0	0.34	0.75	400	0.40
Bedding	910	45	0	0.37	0.65	455	0.40
Transition	850	46	0	0.37	0.65	425	0.48
Secondary rockfill	300	42	5.7	0.17	0.82	166	0.28

# midpoint incremental method non-linear deformation characteristics

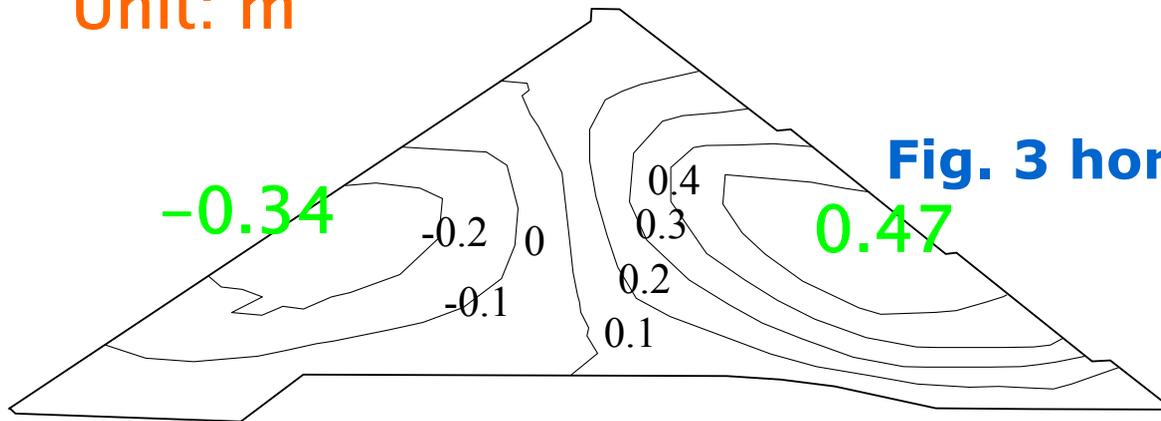
- ⌘ In this method, approximate nonlinear solutions can be obtained by a series of linear analyses provided the updated stiffness and damping are compatible with current effective shear strain amplitudes.
- ⌘ The equivalent effective strain is estimated as a fraction (i.e., 0.65) of peak shear strain in order to define modulus and damping ratio for each iteration from the experimentally-achieved curves.
- ⌘ Successive iterations are required until compatible dynamic parameters with strain level are acquired.
- ⌘ Rayleigh's concept of proportional damping is used to represent hysteric damping of soil
- ⌘ Wilson- $\theta$ 's numerical integration scheme is combined with equivalent linearization procedure to solve the dynamic equations of the system step-by-step in time domain.

# comparative aspects

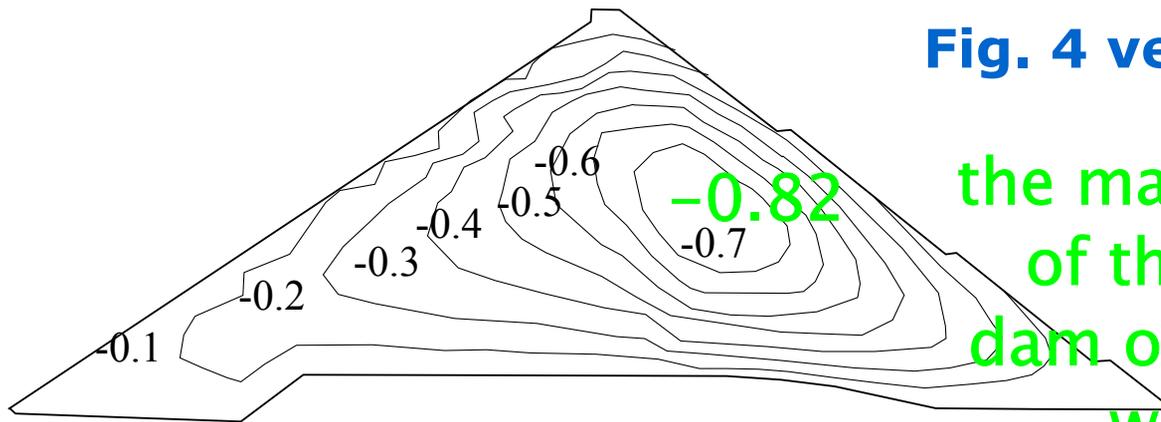


# Deformation and stress of the maximum cross section during construction

Unit: m



**Fig. 3 horizontal displacements**

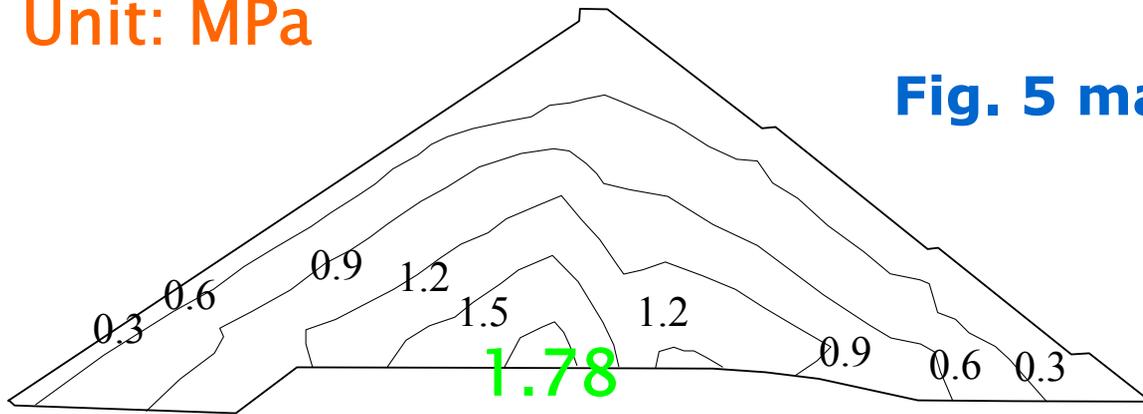


**Fig. 4 vertical displacements**

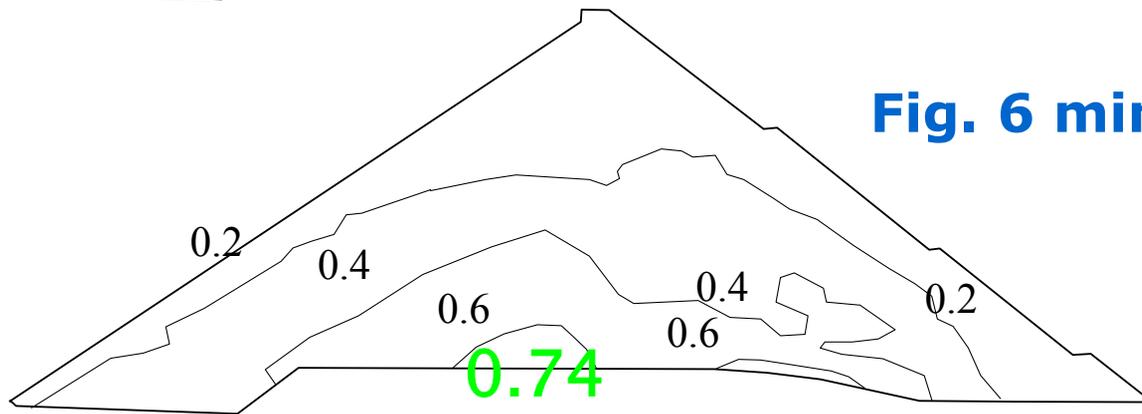
the maximum settlement of the homogeneous dam occurs in axial line, which is 0.61 m

# Deformation and stress of the maximum cross section during construction

Unit: MPa

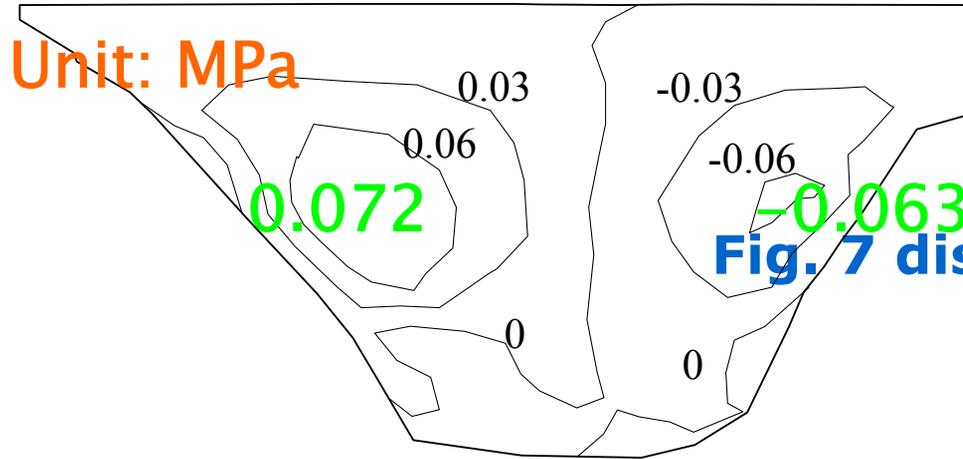


**Fig. 5 major principal stresses**

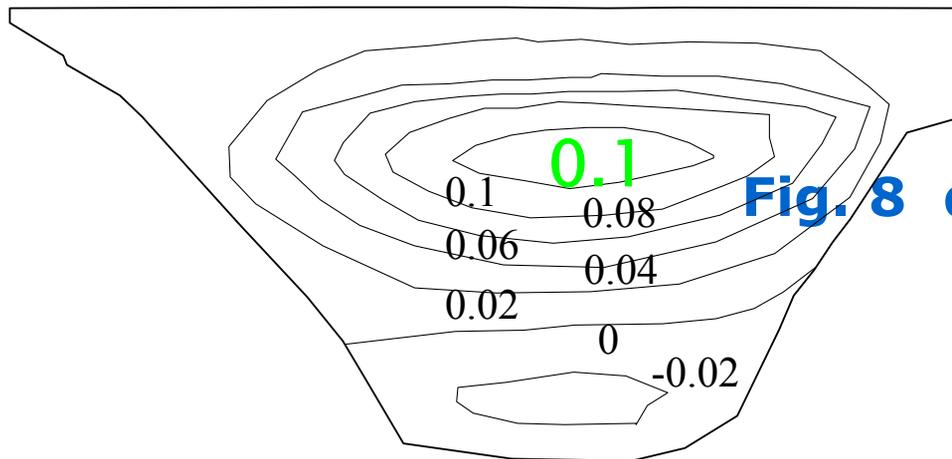


**Fig. 6 minor principal stresses**

# Deformation of section A along the longitudinal direction during construction



**Fig. 7 displacements in X direction**



**Fig. 8 displacements in Y direction**

# Stresses and deformations of major cross section during reservoir filling

Unit: m

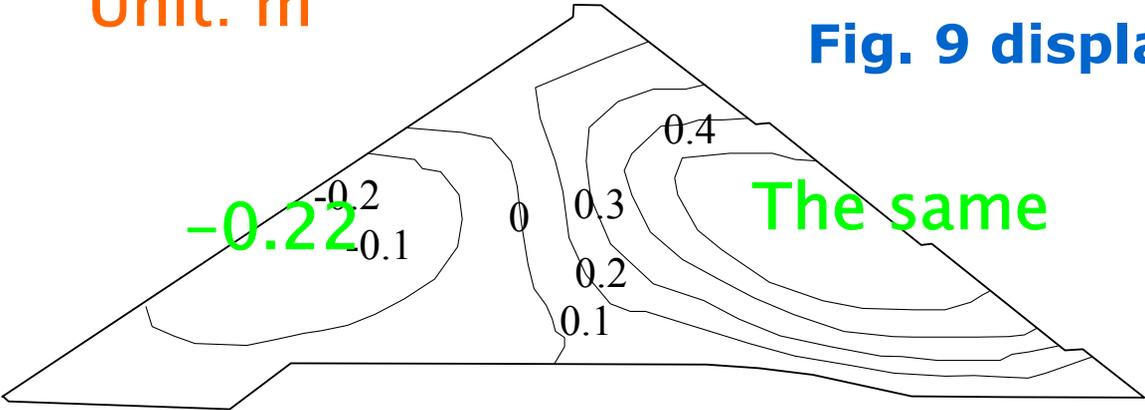


Fig. 9 displacements in y direction

The same

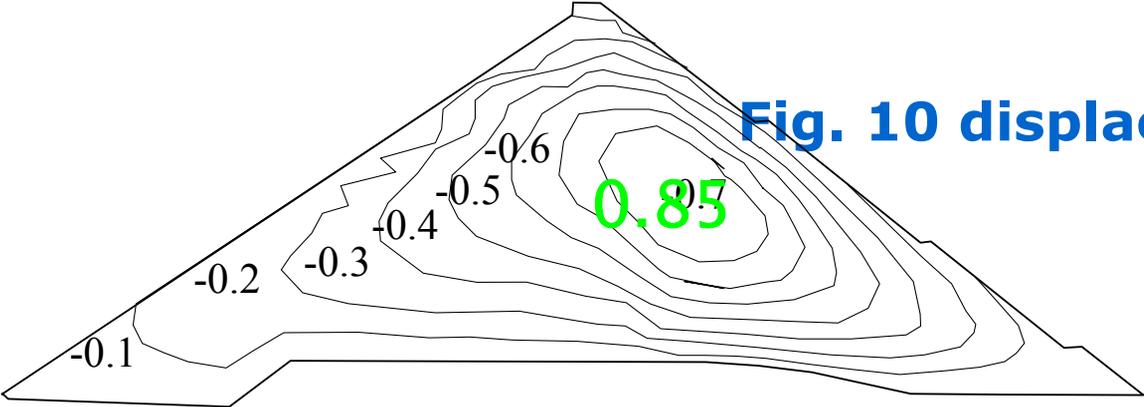


Fig. 10 displacements in Z direction

# Stresses and deformations of major cross section during reservoir filling

Unit: MPa

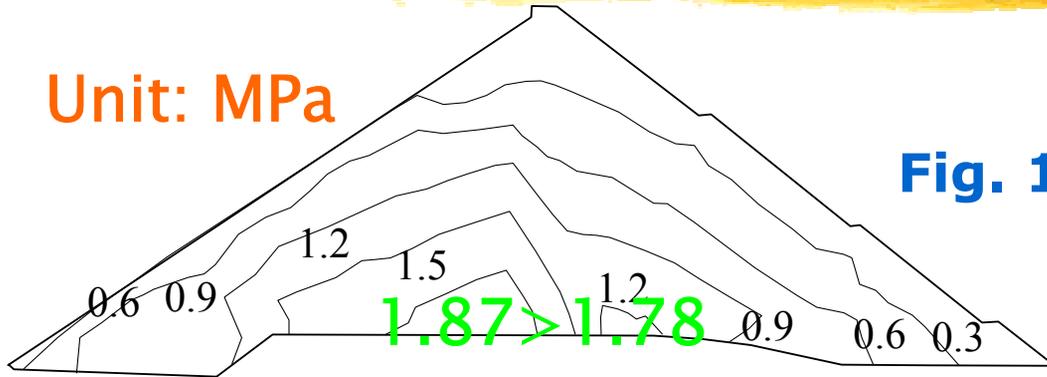


Fig. 11 major principal stresses

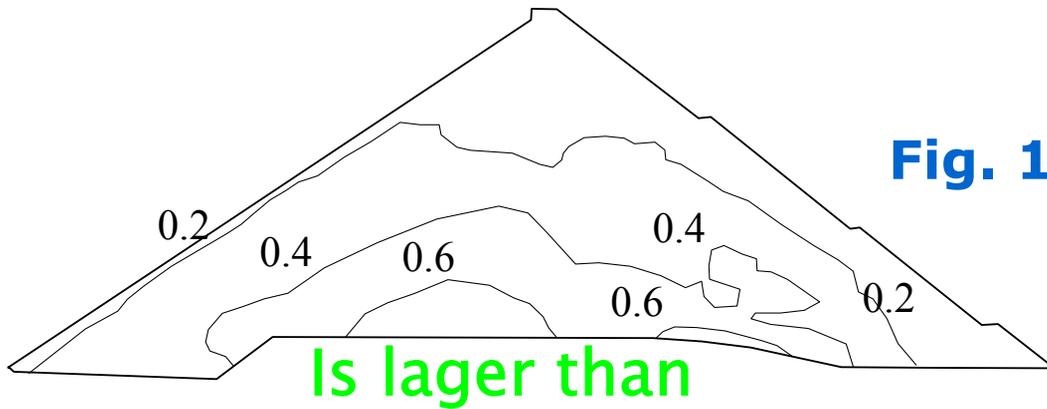
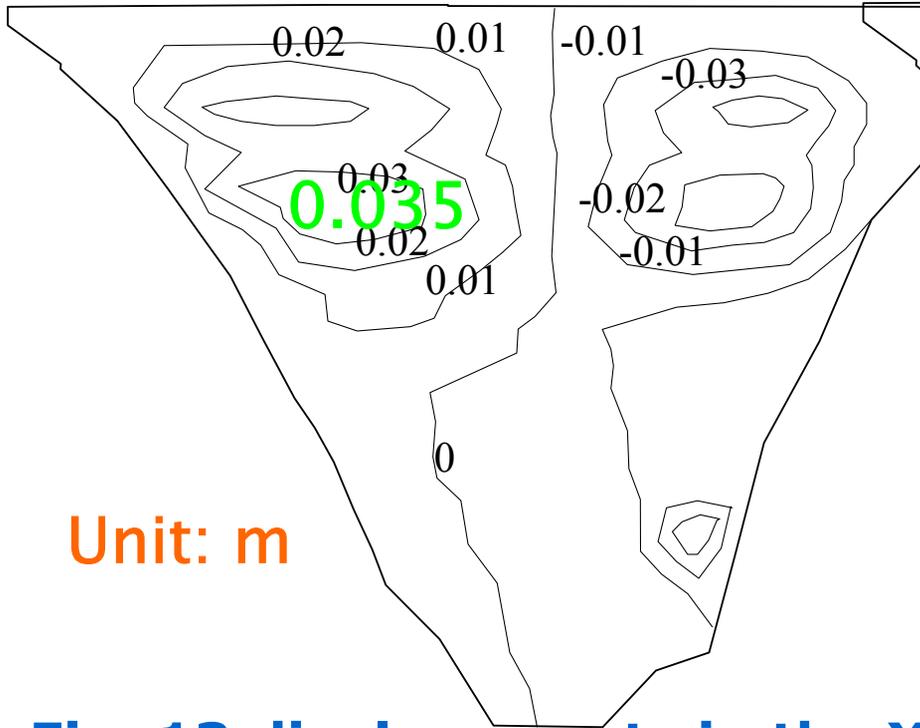


Fig. 12 minor principal stresses

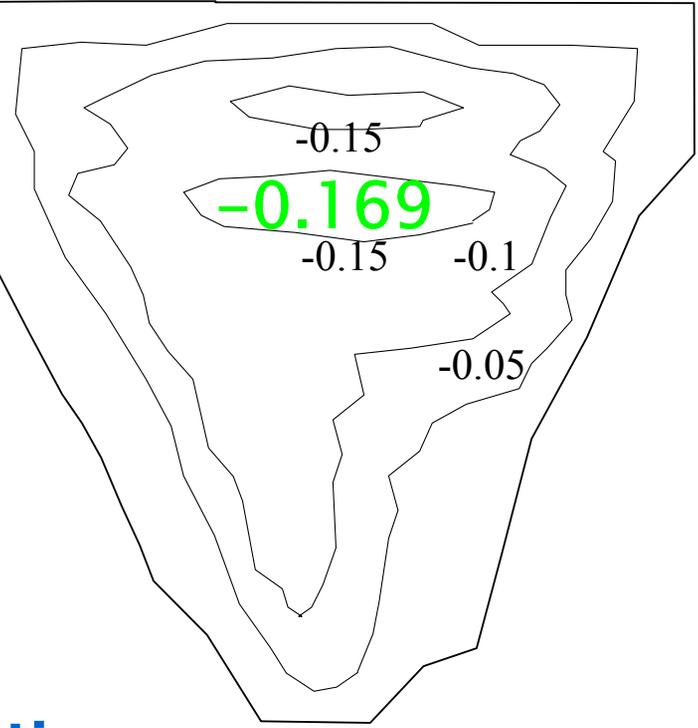
Is larger than

# Stresses and deformations of slab during reservoir filling



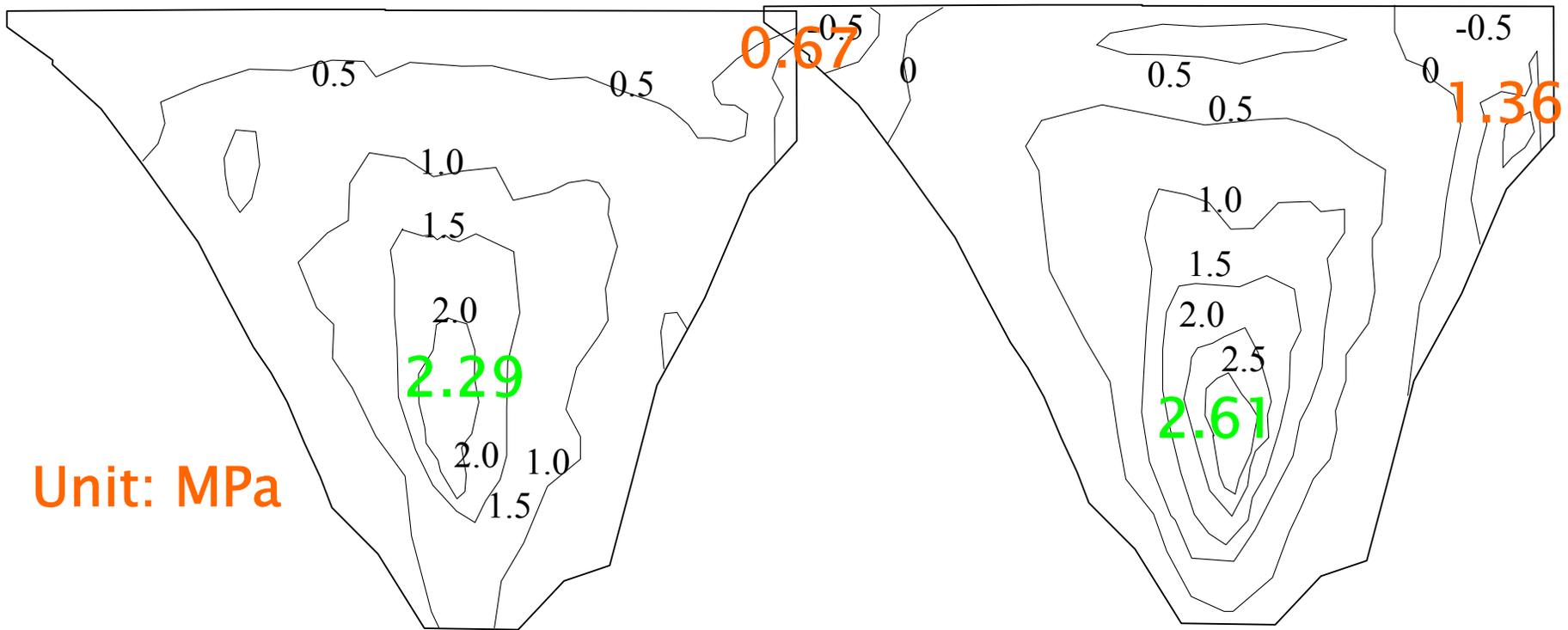
Unit: m

**Fig. 13 displacements in the X direction**



**Fig. 14 Contours of displacements in the Z direction**

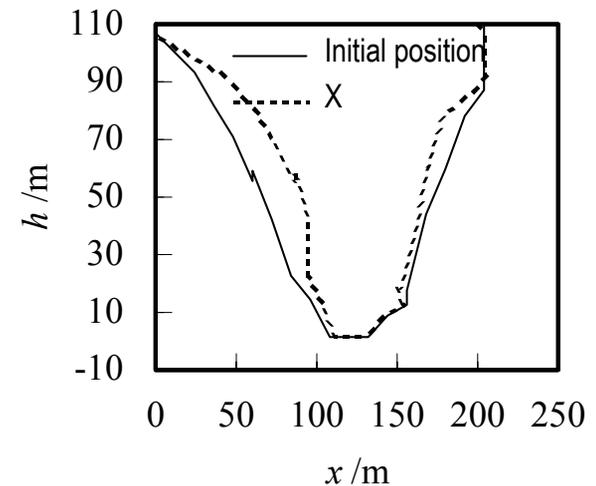
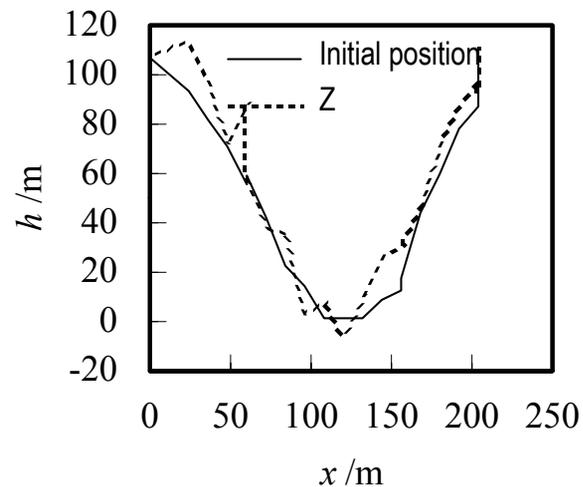
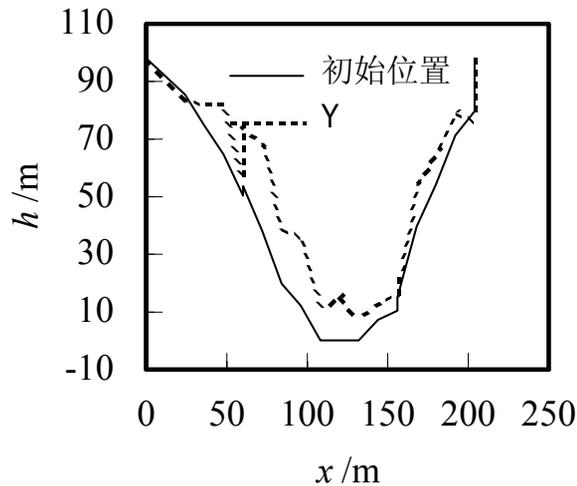
# Stresses and deformations of slab during reservoir filling



**Fig.15 stresses on slab in the direction along the dam slope**

**Fig. 16 along the dam axial**

# Displacements of peripheral joints during reservoir filling



Maximum displacement in X direction occurs at the left bank whose value is 1.06cm and major displacement in X direction of the right bank is -0.59cm. Major displacement in Y and Z direction are 1.46cm and 1.31cm, respectively.

# Sensibility analysis of model parameters --- case A

表2 方案A的坝体和面板的应力、位移的最大值

项 目		坐 标			计算值	
		X	Y	Z		
面 板	沉降(m)		156	53.87	38.96	-0.188
	大主应力		72	74.12	54	309.13
	小主应力		108	108.08	78.16	48.17
	沿坝轴向应力		156	172.38	87.12	261.2
	沿坝坡向应力		120	143.64	87.12	254.36
周边缝位移	X向(mm)	正	60	76.36	55.60	10.62
		负	192	108.07	78.16	5.86
	Y向(mm)		96	18.45	14.40	14.63
	Z向(mm)		60	76.36	55.60	13.13
坝 体	竣工期	垂直位移	120	183.98	59.16	-0.82
		水平位移(上游)	156	60.90	38.97	-0.34
		水平位移(下游)	120	206.14	50.02	0.47
		大主应力	78	167.5	23.89	197.87
		小主应力	78	167.5	23.89	74.41
	蓄水期	垂直位移	120	183.98	59.16	-0.85
		水平位移(上游)	156	60.90	38.97	-0.22
		水平位移(下游)	120	206.14	50.02	0.52
		大主应力	78	167.5	23.89	208.18
		小主应力	78	167.5	23.89	77.3

# Sensibility analysis of model parameters --- case B

表2 方案A的坝体和面板的应力、位移的最大值

项 目		坐 标			计算值		
		X	Y	Z			
面 板	沉降(m)		156.0	53.87	38.96	-0.1428	
	大主应力		72	74.12	54	256.33	
	小主应力		132	89.16	59.13	110.86	
	沿坝轴向应力		24	138.1	94.18	1843.1	
	沿坝坡向应力		120	143.6	87.12	210.95	
周边缝位移	X向(mm)	正	60	76.36	55.60	8.84	
		负	192	108.0	78.16	6.11	
	Y向(mm)		72	57.52	42.19	18.13	
	Z向(mm)		60	76.36	55.60	12	
坝 体	竣工 期	垂直位移		120	183.9	59.16	-0.67
		水平位移(上游)		156	56.88	38.97	-0.27
		水平位移(下游)		120	206.1	50.02	0.39
		大主应力		78	167.5	23.89	198.04
		小主应力		78	167.5	23.89	73.04
	蓄水 期	垂直位移		120	183.9	59.16	-0.69
		水平位移(上游)		156	56.88	38.97	-0.26
		水平位移(下游)		120	206.1	50.02	0.42
		大主应力		78	167.5	23.89	208.42
		小主应力		78	167.5	23.89	76.38

# Sensibility analysis of model parameters --- case C

项 目		坐 标			计算值	
		X	Y	Z		
面 板	沉降(m)		156.0	53.87	38.96	-0.2079
	大主应力		78	74.12	54	348.54
	小主应力		132	89.16	59.13	121.66
	沿坝轴向应力		24	138.1	94.18	322.84
	沿坝坡向应力		120	148.6	87.12	241.94
周边缝位移	X向(mm)	正	60	76.36	55.60	13.06
		负	192	108.0	78.16	5.74
	Y向(mm)		96	18.45	14.40	17.86
	Z向(mm)		60	76.36	55.60	15.89
坝 体	竣工 期	垂直位移	120	183.9	59.16	-1.05
		水平位移(上游)	156	60.89	38.97	-0.41
		水平位移(下游)	120	206.1	50.02	0.61
		大主应力	78	167.5	23.89	196.81
	蓄水 期	小主应力	78	167.5	23.89	75.0
		垂直位移	120	183.9	59.16	-1.09
		水平位移(上游)	156	60.89	38.97	-0.41
		水平位移(下游)	120	206.1	50.02	0.66
		大主应力	78	167.5	23.89	209.82
		小主应力	78	167.5	23.89	78.34

# Some Conclusions



- ⌘ 1) Settlement of the dam is as much as 1% of the dam height, which occurs in the middle of the dam. The major settlement is near downstream slope slightly.
- ⌘ 2) The existing of soft rock has not important impact on safety of the dam body and the facing slab. So the current design scheme is approximately feasible and most rockfill can be used. But monitoring of displacements of the dam during construction must be strengthened.

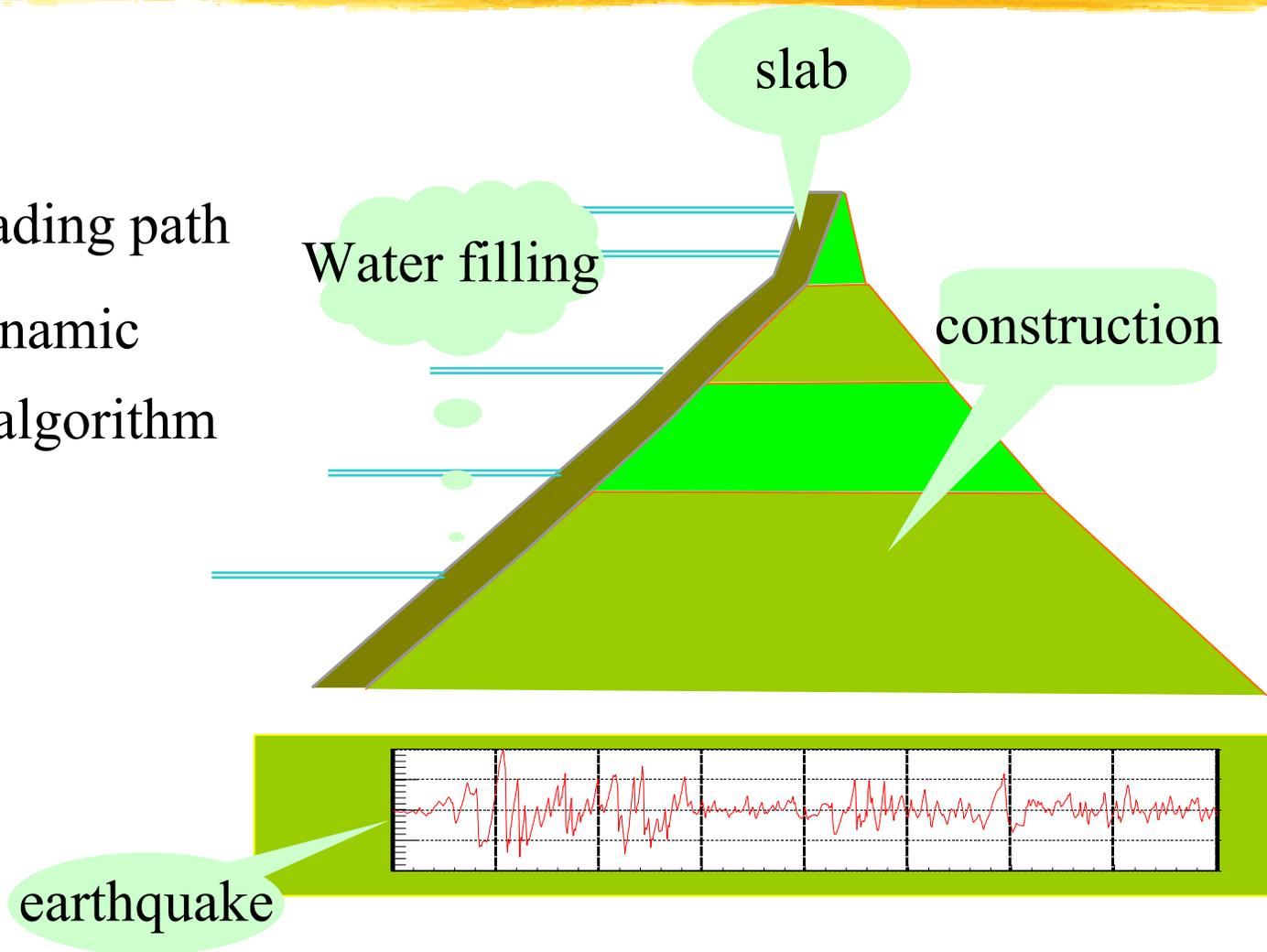
## Some Conclusions



- ⌘ 3) The displacement of peripheral joints between slab and footwall is small with maximum absolute value of 2cm during reservoir filling, thus some special measures need not to be taken, but the choice of the type of watertight and joint sealing material should be considerate carefully.
- ⌘ 4) A few bearing reinforcements need to be set because tension stresses occur in some region of slab.

# Hypoplasticity Bounding Surface model of rockfill materials and static-dynamic incrementally-iterative algorithm for CFRD

Complex loading path  
static dynamic  
Model and algorithm



# Acknowledgements

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